

## Compliance Management

### PMG Solutions for Policy Life Cycle

#### Real customers . . . real results

With PMG, a financial services company implemented the iComply policy management solution for a customer service call center. The response was so positive that the rollout was quickly expanded to the Collections and Fraud departments. The project is planned to pay for itself within the first 6 months.



#### Make your policies work for you ...

Through the challenges of understanding regulations, a company must also provide traceability for the creation, dissemination and ongoing acceptance of a policy. Not only does an organization have to mandate acceptance to certain regulatory policies, but it also must maintain a schedule of renewal, track employees that transfer into departments or groups that require specific policy acceptance and deal with possible exposure to gaps in policy issuance. Many organizations have sought to identify these "gaps" in the name of compliance.

PMG iComply, an Enterprise Policy Management (EPM) solution, provides automation and procedural improvements through well-designed templates and categorization. With the intensive manual process that has been the norm for so long, human resources has been responsible for keeping a company secure through enforcement of policies, regulated by agencies and internal procedures. The challenge for this group is knowing how all the policies in the organization relate to certain users, groups, departments and even companies.

Whatever results you're looking for—lowering costs, enabling employees, improving operations, reducing risk or gaining market share—with PMG, you can achieve them ...

... now.

#### Compliance Challenges ...

The depth and breadth of compliance can be overwhelming. Human resources can avoid drowning in control-monitoring detail by using technology tools to provide a "compliance snapshot" of their organization at any time. The acceleration of reporting deadlines introduces the need for speed, consistency and accuracy that can only be met by automating manual activities and embedding controls throughout EPM processes.

The new demands on compliance make it absolutely critical to establish one central source of data for both internal and external auditors to promote consistency. Auditability, traceability and visibility are all hot buttons driving the need for EPM solutions that can deliver on the requirement to track compliance metrics from development to archival.

Is external auditing enough? No. Would you place the accuracy of compliance of your company in the hands of an outside organization that has no fiscal responsibility for non-compliance? ❌

*PMG iComply provides a variety of compliance results:*

- Automation of EPM processes reduce cycle times and labor-intensive tasks
- Well-controlled processes and systems potentially reduce the need for extensive audits (both internal and external)
- Insight into operations systems can often identify and reduce potential litigation risks in business units, department units, employees, etc.
- Better monitoring of internal controls systems can prevent material misstatements



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***The time is now to stop wasting time, money and resources on the wrong solutions ...***

***The time is now to get ahead and stay ahead of the competition ...***

***Call PMG today to start getting real results ... now.***